

GRC Software Use Case for OCHIN, Inc.

February 8, 2016

ID:	Use Case 003
Title:	GRC software for State and Federal compliance regulation tracking
Description:	<p>The GRC software will maintain:</p> <ul style="list-style-type: none"> • Current Federal and State regulatory citations regarding electronic prescribing (ePrescribing) requirements • Documentation requirements for the content of an electronic health record • State regulatory requirements regarding who can document in an electronic health record, including the Nursing Practice Act for all 50 states • Health Insurance Portability and Accountability Act (HIPAA) Privacy, Security, Breach Notification Rule; Omnibus Rule; State Privacy laws; • State age of consent for treatment • State Board of Pharmacy requirements for approval of an electronic prescription • Behavioral health alcohol and substance abuse treatment record privacy requirements <p>These links will be automatically updated as laws at the State or Federal level change.</p>
Primary Actor:	Compliance Team accesses the GRC System for regulatory updates on a topic in order to update OCHIN policies, or OCHIN HIPAA training materials.
Preconditions:	Compliance Team is logged into the GRC system
Post conditions:	Compliance Team clicks on a link in the GRC system and has up-to-date regulatory information about a specific topic, e.g. age of consent for treatment in California. Compliance team receives notification of a regulatory change, e.g. when the age of consent changes in California.
Main Success Scenario:	<p>When a Compliance Team member clicks on the HIPAA Privacy regulation in the GRC application, the application will open the Privacy regulation in the Federal Register.</p> <p>When a Compliance Team member is in the policy management application, and the Team member clicks on the OCHIN Privacy policy, he or she will be taken to the compliance regulation tracking section of the GRC software application, opening the HIPAA Privacy regulation.</p>
Extensions:	When the Compliance Team clicks on an OCHIN policy, and there is no State or Federal law in the Compliance Regulation component of the GRC software, a

	message will display indicating that the policy does not have a linked law or regulation associated with it.
Frequency of Use:	Several times per month
Status:	Currently, privacy and security regulations, Federal and State-by-State laws regarding alcohol and substance abuse treatment, State-by-State age of consent to treatment, individual State Board of Nursing, State Board of Pharmacy regulations are tracked in Excel and are updated manually.
Owner:	Compliance Team
Priority:	High